

**UNITED STATES FIES AND EXCHANGE COMMISSION** 

Washington, D.C. 20549

Best Available Copy

9 2007

RECD S.E.C.

LUG

ANNUAL AUDITED REPORT FORM X-17A-5 PART III

OMB APPROVAL

OMB Number: 3235-0123 Expires: February 28, 2010 Estimated average burden hours per response..... 12.00

> SEC FILE NUMBER 47899

**FACING PAGE** Information Required of Brokers and Dealers Pursuant to Section 17 of the

Sec	ürities Exchang	ge Act of 1934 and Rule	e 17a-5 Thereu	nder		
REPORT FOR THE PERIOD	BEGINNING <u>O</u>	1 01 2006 MM/DD/YY	AND ENDING_	12131 L	2006	<u> </u>
	A. REGI	STRANT IDENTIFICA	TION			
	11.70.07			_		
NAME OF BROKER-DEAL ADDRESS OF PRINCIPAL	Joslin 3	TEARMS JEFFIEL Ale) JESS: (Do not use P.O. Box	( No.)		IAL USE	
16152 13	ACH BUYO	, Suin 271				
		(No. and Street)	_			
HOHENHON	Boney	(A)	91	2647		
(City)		(State)		(Zip Code)		
NAME AND TELEPHONE I	off Joses	н		(Area Code -	1 - 37 S - Telephon	- 1788 e Number)
	B. ACCO	UNTANT IDENTIFICA	ATION			
INDEPENDENT PUBLIC A	GHON Y.	ose opinion is contained in the				
12042 14	1			10 10	- A	0 - 10.11
12842 VAL	ced sign	1, Sur 206	GARRON	GROVE		
(Address)		(City)	(State)		(Zip C	ode)
CHECK ONE:						
🔁 Certified Publi	ic Accountant				'^~	_
☐ Public Accoun			_	PRUCE	SSE	D
☐ Accountant no	t resident in United	d States or any of its possess	ions.	AUG 2 0	2007	
	F	OR OFFICIAL USE ON	LY	THOMS	SON	
			· · · · · · · · · · · · · · · · · · ·	FINANC	CIAL	

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of information contained in this form are not regulated to respond unless the form displays a currently valid DMB control number.

SEC 1410 (06-02)

## OATH OR AFFIRMATION

I, my knov	Wedge and belief the accompanying financial stater  STOCK TRACTES	, swear (or affirm) that, to the best of ment and supporting schedules pertaining to the firm of
neither	AV6UIT Z, 20	67_, are true and correct. I further swear (or affirm) that officer or director has any proprietary interest in any account
	T- ATTACHUS	Signature  PRINC, PAL  Title
	Computation for Determination of the Reserve Rec A Reconciliation between the audited and unaudite consolidation.  An Oath or Affirmation.  A copy of the SIPC Supplemental Report.	o Claims of Creditors.  ements Pursuant to Rule 15c3-3.  Requirements Under Rule 15c3-3.  n of the Computation of Net Capital Under Rule 15c3-1 and the

<sup>\*\*</sup>For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

JURAT							
State of California							
County of							
Subscribed and sworn to (or affirmed) before me on							
this day	of	ANGUST	20 <u>_<b>07</b></u> ,				
by Jufney Jose	لمريا						
personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.							
SHAMUS FESLER Commission # 1607632 Notary Public - California Orange County My Comm. Expires Sep 19, 2009							
(seal)	Signatui	re	<del></del>				

## StockTraders Supplemental Schedule #3 December 31, 2006

## INFORMATION RELATING TO POSSESSION OR CONTROL REQUIREMENTS UNDER RULE 15C3-3

The Company is exempt from the Rule 15c3-3 as it relates to Possession and Control requirements under the (k)(2)(ii) exemptive provision.

See Accountant's Audit Report